

# CPU Scheduling

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Announcements:

From last time:

1. Processes and threads; context switching.

Outline:

1. Traditional process scheduling.
2. Comparison criteria.
3. Priority functions.
4. Thread scheduling.
5. Multiprocessor scheduling issues.
6. Gantt chart examples.

Assignment:

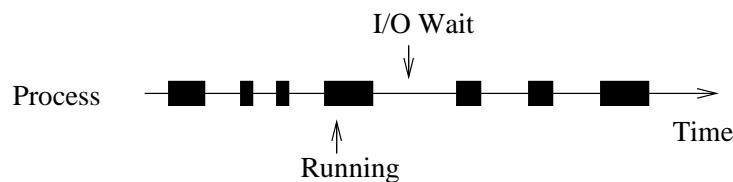
## 1 Traditional Process Scheduling

Motivation:

- Traditionally: keep the CPU busy.
- Now:
  - Promote modular design.
  - Increase throughput (a multi-threaded server).

What are the three schedulers, and how do they function?

Model of a process: CPU-I/O burst cycles:



Distribution of bursts.

CPU bursts terminate due to:

1. Process waits on event (blocked, suspended).
2. Process' quantum expires (back to ready Q).

## 1.1 Preemptive Scheduling

1. Non-Preemptive scheduling.

Context switches occur:

- (a) Running process terminates.
- (b) Running process blocks.

I.e., running process controls the show.

**New process takes over if running process blocks.**

2. Preemptive scheduling.

Principle: Highest priority ready process runs.

Quantum timers come into play.

Additional context switches:

- (a) Higher priority process changes state from blocked to ready, preempting running process.
- (b) Quantum expires (kernel preempts).

Higher overhead.

3. Selective preemptive scheduling.

## 1.2 Comparison Criteria

**User oriented, performance related criteria.**

- Response time — time from submission of request to receipt of first output.
- Turnaround time — time from submission of request to its completion.
- Waiting time — turnaround time minus CPU time; time spent waiting for resources.
- Deadlines — When deadlines are specified, percentage of deadlines which are met.

**User oriented, other criteria**

- Predictability — the same job should run in about the same amount of time and cost regardless of other system activity.

## **System oriented, performance related criteria**

- Throughput — number of processes completed per unit of time.
- CPU utilization — percentage of time CPU is performing *actual* work.

## **System oriented, other criteria**

- Fairness — processes should generally be treated the same, with no process starving.
- Priority enforcement — when priorities are assigned, they should be adhered to.
- Balancing Resources — keep all system resources busy, adjust priorities accordingly. This can come in at the long-term scheduler level.

### **1.3 The Priority Function**

- CPU time — usually the most important factor
- memory requirements — a major criterion in batch systems; in timesharing systems give a good measure of swapping overhead
- wall time — important for process “aging” — increase priority of older jobs.
- total required CPU time — specify max runtime for job (batch) or take some average of previous runtimes.
- external priorities — batch, interactive, realtime, VIP, etc. Let user pick priority and charge for higher priorities.
- system load — increasing quantum may offset swapping overhead, increasing utilization. Continue giving good response to high priority jobs, making others suffer. Graceful degradation.

### **1.4 Examples of Priority Functions**

*Implemented using queues or priority queues.*

1. FCFS, FIFO — non-preemptive. Run oldest process. Standard batch priority function
    - Implemented with a simple queue for the ready Q
    - New jobs, jobs previously in wait or running state put at end of ready Q
    - Next job to run taken from head of ready Q
    - Priority function: time in ready Q
  2. LIFO — non-preemptive. Run newest process. Not real useful.
  3. SJF — shortest job first. Non-preemptive. Run process with shortest required CPU time.

- Time is time of next CPU burst.
  - Implement with priority Q
  - Estimate of next CPU burst:

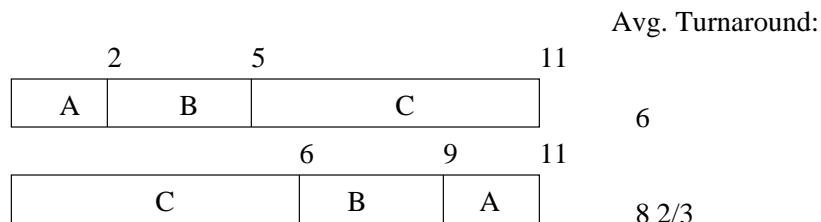
$$\tau_{n+1} = \alpha t_n + (1 - \alpha) \tau_n,$$

where  $\tau$  is the estimated time and  $t$  is the actual time.  $\tau_n$  is an exponential average of history.

- Priority function:  $\frac{1}{\text{Next CPU Burst}}$

Provably optimal from turnaround/waiting point of view:

A -- 2 units; B -- 3 units; C -- 6 units



4. SRT — (shortest remaining time) preemptive version of SJF.

- Another possibility — Time is remaining CPU time:

```
Current->RemainingTime -= LastCPUBurst;
```

- Total estimated CPU time is submitted with job. If exceeded, job is terminated.
5. RR — (round robin) preemptive FCFS with a time quantum limitation. Used in time sharing systems.
- Uses FCFS's priority function
  - Additional factor in decision epoch: expiration of quantum timer
6. Multi-level queues — prioritized set of queues,  $Q_1$  to  $Q_n$ .
- Processes in queue  $i$  *always* have priority over queues  $> i$ .
  - A process remains within the same queue.
  - Each queue may have its own scheduling algorithm.
  - Alternative: each queue gets some fixed slice of the total CPU cycles.
  - Example: Queue for interactive jobs, RR scheduling; queue for batch jobs, FCFS.
7. Multi-level feedback queues — similar to multi-level queues, except that a process can move between different queues, based upon CPU usage.
- Must specify rules for moving the processes between queues.
  - Ordinarily, lower priority queues have greater quantums, etc.
  - Linux uses this method, with a 100ms quantum for *all* queues. 141 priorities and run queues. A limited amount of dynamicism for non-realtime tasks. Higher priority tasks have longer quanta, but get “expired,” preventing starvation.

## 1.5 Scheduling Examples

Suppose the following jobs arrive for processing at the times indicated and run with the specified CPU bursts (at the end of a burst a process waits for one time unit on a resource). Assume that a just-created job enters the ready queue after any job entering the ready queue from the wait queue.

Job	Arrival Time	CPU Bursts
1	0	1 2
2	1	1 3
3	2	1 1

Calculate the average turnaround time for each of the scheduling disciplines listed:

1. First Come First Served.
2. Shortest Remaining Time (assume that the running time is the sum of the CPU bursts).
3. Round robin with a quantum of 1.

Don't forget the "bubble" cycles (where no process is runnable), if required.

## 2 Thread Scheduling

Kernel-level (system scope) vs. user-level (process scope) threads.

pthread possibilities (implementation dependent):

1. Quantum allocation.
2. Process scope thread priorities; starvation.
3. Process scope threads with same priority: FIFO (no preemption) or RR (preemption) algorithms available.

### **3 Multiprocessor Scheduling Issues**

1. Symmetric Multiprocessing vs. asymmetric multiprocessing: 1 or  $n$  run queues.
2. Processor affinity: maximize cache hit rates vs. load balancing vs. specialized devices attached to a single CPU.
3. Hyperthreading to reduce memory stall-forced CPU idling.
4. Virtualization: When a process quantum on a guest OS isn't all it's cracked up to be.